

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-SB-08A (08-00)

Approved for use through 10-31-2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A-PTO

Application Number

10/696,370

Filing Date

October 28, 2003

First Named Inventor

David E. EDGREN

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket Number

AR02164USACON1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
J	AA	2,799,241		Wurster	07/16/1957	
	AB	3,133,132		Loeb et al.	05/12/1964	
	AC	3,173,876		Zobrist	03/16/1965	
	AD	3,276,586		Rosaen	10/04/1966	
	AE	3,541,005		Strathmann et al.	11/17/1970	
	AF	3,541,006		Bixler et al.	11/17/1970	
	AG	3,546,142		Michaels et al.	12/08/1970	
	AH	3,589,122		Zaffaroni	08/10/1971	
	AI	3,589,123		Zaffaroni	08/10/1971	
	AJ	3,845,770		Theeuwes et al.	11/05/1974	
	AK	3,916,899		Theeuwes et al.	11/04/1975	
	AL	4,063,064		Saunders et al.	12/13/1977	
	AM	4,088,864		Theeuwes et al.	05/09/1978	
	AN	4,111,201		Theeuwes et al.	09/05/1978	
	AO	4,160,020		Ayer et al.	07/03/1979	
	AP	4,200,098		Ayer et al.	04/29/1980	
	AQ	4,285,987		Ayer et al.	08/25/1981	
	AR	4,327,725		Cortese et al.	05/04/1982	
	AS	4,535,189		Husbands et al.	08/13/1985	
	AT	4,611,078		Husbands et al.	09/09/1986	
	AU	4,612,008		Wong et al.	09/16/1986	
	AV	4,761,501		Husbands et al.	08/02/1988	

Examiner Signature	Date Considered	6/05
--------------------	-----------------	------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-SB-08A (08-00)

Approved for use through 10-31-2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)
Sheet 2 of 3

<i>Examiner Initials</i>	<i>Cite No.¹</i>	<i>U.S. Patent Document</i>	<i>Application Number</i>	10/696,370
		<i>Kind Code² (if known)</i>	<i>Name of Patentee or Applicant of Cited Document</i>	<i>Date of Publication of Cited Document mm-dd-yyyy</i>
<i>J</i>	AW	4,765,989	Wong et al.	08/23/1988
<i>J</i>	AX	4,783,337	Wong et al.	11/08/1988
	AY	4,842,867	Ayer et al.	06/27/1989
	AZ	4,863,744	Urquhart et al.	09/05/1989
	BA	4,946,687	Ayer et al.	08/07/1990
	BB	4,948,592	Ayer et al.	08/14/1990
	BC	4,950,486	Ayer et al.	08/21/1990
	BD	4,966,769	Guittard et al.	10/30/1990
	BE	5,190,765	Jao et al.	03/02/1993
<i>J</i>	BF	6,440,457	B1 Edgren et al.	08/27/2002

U.S. PATENT DOCUMENTS

<i>Examiner Initials</i>	<i>Cite No.¹</i>	<i>U.S. Patent Document</i>		<i>Name of Patentee or Applicant of Cited Document</i>	<i>Date of Publication of Cited Document mm-dd-yyyy</i>	<i>Pages, Columns, Lines, where relevant passages or relevant figures appear</i>
		<i>Kind Code² (if known)</i>	<i>Number</i>			
<i>J</i>	AW	4,765,989		Wong et al.	08/23/1988	
<i>J</i>	AX	4,783,337		Wong et al.	11/08/1988	
	AY	4,842,867		Ayer et al.	06/27/1989	
	AZ	4,863,744		Urquhart et al.	09/05/1989	
	BA	4,946,687		Ayer et al.	08/07/1990	
	BB	4,948,592		Ayer et al.	08/14/1990	
	BC	4,950,486		Ayer et al.	08/21/1990	
	BD	4,966,769		Guittard et al.	10/30/1990	
	BE	5,190,765		Jao et al.	03/02/1993	
<i>J</i>	BF	6,440,457	B1	Edgren et al.	08/27/2002	

FOREIGN PATENT DOCUMENTS

<i>Examiner Initials</i>	<i>Cite No.¹</i>	<i>Foreign Patent Document</i>			<i>Name of Patentee or Applicant of Cited Document</i>	<i>Date of Publication of Cited Document mm-dd-yyyy</i>	<i>Pages, Columns, Lines, where relevant passages or relevant figures appear</i>	<i>T⁴</i>
		<i>Office³</i>	<i>Number⁴</i>	<i>KindCode⁵</i>				
<i>J</i>	BG	EP	0 112 669	A2	American Home Products	07/04/1984		

<i>Examiner Signature</i>	<i>[Signature]</i>	<i>Date Considered</i>	<i>6/65</i>
---------------------------	--------------------	------------------------	-------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO-SB-08A (08-00)

Approved for use through 10-31-2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

<i>Application Number</i>	10/696,370
<i>Filing Date</i>	October 28, 2003
<i>First Named Inventor</i>	David E. EDGREN
<i>Group Art Unit</i>	Not yet assigned
<i>Examiner Name</i>	Not yet assigned
<i>Attorney Docket Number</i>	AR02164USACON1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
J	BH	Louis F. Fabre & H. Paul Putman, "An ascending single-dose tolerance study of WY-45,030, A bicyclic antidepressant, in healthy men," <u>Current Therapeutic Research</u> , 42(5):901-909, November 1987.	
J	BI	Alvin Felmeister, "Powders," <u>Remington's Pharmaceutical Sciences</u> , 14 th Edition, Ch 86 & 87, pp. 1626-1648, Mack Publishing Co., 1970.	
	BJ	Goodman & Gilman, <u>The Pharmacological Basis of Therapeutics</u> , 4 th Ed., Page 182, Macmillan Publishing Co., New York, 1970.	
	BK	Goodman & Gilman, <u>The Pharmacological Basis of Therapeutics</u> , 7 th Ed., Page 7, Macmillan Publishing Co., New York, 1985.	
	BL	Goodman & Gilman, <u>The Pharmacological Basis of Therapeutics</u> , 8 th Ed., Pages 407 & 411, Pergamon Press, New York, 1990.	
	BM	P. Grafton, "General principles for designing with plastics," <u>Modern Plastics Encyclopedia</u> , 46:62-71, 1969.	
	BN	Robert E. King, "Tablets, Capsules, and Pills," <u>Remington's Pharmaceutical Sciences</u> , 14 th Edition, Ch. 87, pp. 1649-1680, Mack Publishing Co., 1970.	
	BO	Mark A. Longer & Joseph R. Robinson, "Sustained-Release Drug Delivery Systems," <u>Remington's Pharmaceutical Sciences</u> , 18 th Edition, Ch 91, pp. 1676-1686, Mack Publishing Co., 1990.	
	BP	<u>The Merck Index</u> , 11 Ed., page 1365 & MISC-107, 1989. "Sodium Nitrate"	
	BQ	<u>The Merck Index Online</u> , Merck & Co. 1996, 1997. "10079 Monograph Name: Venlafaxine"	
	BR	Scott & Roff, <u>Handbook of Common Polymers</u> , CRC Press., Cleveland Ohio, 1971.	
	BS	Dale E. Wurster, "Air-Suspension Technique of Coating Drug Particles", <u>J. Am. Pharm. Assoc.</u> , 48(8):451-454, August 1959	
X	BT	Dale E. Wurster, "Preparation of Compressed Tablet Granulations by the Air-Suspension Technique II", <u>J. Am. Pharm. Assoc.</u> , 49(2):82-84, February 1960.	

Examiner's
Signature

Date
Considered

6/65

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



SUBMISSION UNDER MPEP 609 D

Page 1 of 1

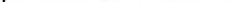
SUBMISSION UNDER MPEP 609 D	<i>Application Number</i>	10/696,370
	<i>Filing Date</i>	October 28, 2003
	<i>First Named Inventor</i>	David E. EDGREN
	<i>Group Art Unit</i>	To be assigned
	<i>Examiner Name</i>	To be assigned
	<i>Attorney Docket Number</i>	AR02164USACON1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner 's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
J		International Search Report dated November 30, 1994, for corresponding Appln No. PCT/US94/06049.	

Examiner Signature		Date Considered	6/65
-----------------------	---	--------------------	------